

# EXHIBIT 7

Claim Number  
Date Received

Pg 2 of 23

Case No 08-01789-BRL

U.S. Bankruptcy Court for the Southern District of New York

Claim Number:

006434

**BERNARD L. MADOFF INVESTMENT SECURITIES LLC**

In Liquidation

**RECEIVED****DECEMBER 11, 2008****MAR 04 2009**

(Please print or type)

**Name of Customer:** Neil Botwinoff ("Claimant")**Mailing Address:** Redacted**City:** Redacted      **State:** Redacted      **Zip:** Redacted**Account No.:** Claimant maintained account number Redacted 5001 at Bear Stearns, which functioned as IRA custodian for Claimant's IRA investment in P.J. Administrator LLC, which, in turn, wholly invested with Bernard L. Madoff Investment Securities LLC ("Broker") through account numbers 1-KW387-3-0 and 1-FN086-4-0 (collectively, the "Accounts").**Taxpayer I.D. Number (Social Security No.):** Redacted-5874

**NOTE: BEFORE COMPLETING THIS CLAIM FORM, BE SURE TO READ CAREFULLY THE ACCOMPANYING INSTRUCTION SHEET. A SEPARATE CLAIM FORM SHOULD BE FILED FOR EACH ACCOUNT AND, TO RECEIVE THE FULL PROTECTION AFFORDED UNDER SIPA, ALL CUSTOMER CLAIMS MUST BE RECEIVED BY THE TRUSTEE ON OR BEFORE MARCH 4, 2009. CLAIMS RECEIVED AFTER THAT DATE, BUT ON OR BEFORE JULY 2, 2009, WILL BE SUBJECT TO DELAYED PROCESSING AND TO BEING SATISFIED ON TERMS LESS FAVORABLE TO THE CLAIMANT. PLEASE SEND YOUR CLAIM FORM BY CERTIFIED MAIL – RETURN RECEIPT REQUESTED.**

\*\*\*\*\*  
**Claim for money balances as of December 11, 2008:**

- 1.
- a. The Broker owes me a Credit (Cr.) Balance of \$56,027.89<sup>1</sup>
  - b. I owe the Broker a Debit (Dr.) Balance of \$ N/A
  - c. If you wish to repay the Debit Balance,  
please insert the amount you wish to repay and  
attach a check payable to "Irving H. Picard, Esq.,  
Trustee for Bernard L. Madoff Investment Securities LLC."  
If you wish to make a payment, **it must be enclosed**  
with this claim form. \$ N/A
- If balance is zero, insert "None." N/A

<sup>1</sup> On February 20, 2009, the SIPC Trustee presided over the first meeting of the Broker's creditors. At that meeting, the Trustee stated that he had found no evidence that the Broker purchased any securities for his customers for at least 13 years. As a result, the Trustee indicated that claims for reimbursement will be based on the net of investors' cash deposits and withdrawals with the Broker. For these reasons, the answer to question 1(a) above reflects the net cash that Claimant deposited with Bear Stearns as custodian for Claimant's IRA. That IRA was fully invested with P.J. Administrator LLC ("PJ"). PJ, in turn, deposited Claimant's net cash into the Accounts with the Broker.

Attached hereto as Exhibit 1 is a copy of a cancelled check, made payable to Bear Stearns FBO Neil E. Botwinoff, in the amount of \$55,282.14, which was used as initial funding for Claimant's IRA. Exhibit 2 is a copy of Claimant's Bear Stearns IRA account statement for the period March 31, 2007 through April 30, 2007, which shows Claimant's additional deposit of \$745.75 on page 5 thereof. These deposits, totaling \$56,027.89, were fully invested with PJ. The schedule that Claimant received from PJ, which sets forth Claimant's entire investment activity (Exhibit 3), reflects PJ's receipt and credit of the two deposits mentioned above. That Exhibit also confirms Claimant's net cash deposits of \$56,027.89. As explained above, PJ deposited Claimant's net cash into the Accounts with the Broker.

2. Claim for securities as of December 11, 2008:

**PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.**

	<u>YES</u>	<u>NO</u>
a. The Broker owes me securities	<u>X</u>	
b. I owe the Broker securities		<u>X</u>
c. If yes to either, please list below:		

Date of Transaction (trade date)	Name of Security	Number of Shares or Face Amount of Bonds	
		The Broker Owes Me (Long)	I Owe the Broker (Short)
	The Broker owes Claimant a total of \$68,967 in securities. <sup>2</sup>		

**Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclosed, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or information regarding any withdrawals you have ever made or payments received from the Debtor.**

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

<sup>2</sup> This total balance is reflected in the last column of Exhibit 3. Exhibit 4 is a schedule that Claimant received from PJ setting forth the securities that the Broker claimed to have purchased on behalf of Claimant in November 2008.

**PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.**

**NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.**

	YES	NO
3. Has there been any change in your account since December 11, 2008? If so, please explain.		X
4. Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?		X
5. Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?		X
6. Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)		X
7. Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.		X
8. Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.	X <sup>3</sup>	
9. Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? If so, give name of that broker.		X

Please list the full name and address of anyone assisting you in the preparation of this claim form:

\_\_\_\_\_  
\_\_\_\_\_

<sup>3</sup> Claimant granted PJ discretionary authority to execute securities transactions with the Broker. PJ's address is PJ Administrator LLC, 666 Third Avenue, 29<sup>th</sup> Floor, New York, New York 10017-4001.

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

**IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM.  
CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR  
NOT MORE THAN FIVE YEARS OR BOTH.**

**THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF.**

Date 3/3/09 Signature Neil Batwinoff  
Date \_\_\_\_\_ Signature \_\_\_\_\_

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

**This customer claim form must be completed and mailed promptly,  
together with supporting documentation, etc. to:**

Irving H. Picard, Esq.  
Trustee for Bernard L. Madoff Investment Securities LLC  
Claims Processing Center  
2100 McKinney Ave., Suite 800  
Dallas, TX 75201

**EXHIBIT 1**

**MWPTAP00386544**

1135  
80-568/1012

JOEL S HIRSCHTRITT TRUSTEE  
MICHAEL TANNENBAUM TRUSTEE  
TANNENBAUM HELPERN SYRACUSE  
900 3RD AVE  
NEW YORK, NY 10022

Date Sept. 14, 2006

Pay to the Bear Sterns FBO Neil E. Bottowhoft  
Five Five Thousand Two Hundred Eighty Two AND 14/100 Dollars  
EXPENSE CODE

United Missouri Bank  
Warsaw, Missouri

Fidelity Investments®



\$5\*, Redacted \$874  
For NC, Redacted \$1-16  
10,205681135# Redacted \$515# \$0005528214,"

538162818 1516906326 1516906326 1516906326  
ENT=3732 TRC=3733 PK=20  
0916200000 031000040 FRB 41243 LAK 30162000  
1516906326 1516906326 1516906326 1516906326  
ENT=3732 TRC=3733 PK=20

ENT=3732 TRC=3733 PK=20  
021000040 FDR4440 LG3016  
0916200625 CDR  
15169063095 ENT=3733 PK=20

3.136

MWPTAP00386546

**EXHIBIT 2**

**MWPTAP00386547**

**BEAR  
STEARNS**

OFFICE SERVICING YOUR ACCOUNT  
Bear, Stearns & Co., Inc.  
383 Madison Avenue  
New York, New York 10179  
(212) 272-2000

INVESTMENT PROFESSIONAL FILESHMAN, NEILSON  
TELEPHONE/EMAIL (212) 272-6564 nileshman@bear.com  
VISIT OUR WEBSITE [www.bearstearns.com](http://www.bearstearns.com)

## What's In This Statement

Financial Summary .....	3
Your Portfolio Holdings .....	4
Transaction Detail .....	5
Fund Activity .....	5
Your Messages .....	6

[REDACTED]

NEIL BOTWINOFF IRA R/O  
BEAR STEARNS SEC CORP CUST  
**Redacted**

## Your Portfolio at a Glance

MONEY MARKET FUND BALANCE	800	Cash & Equivalent	\$800
NET EQUITY THIS PERIOD	\$800		.....
NET EQUITY LAST STATEMENT	53		.....
CHANGE SINCE LAST STATEMENT	747		.....

\*This portfolio includes one or more unquoted securities that are not reflected in the Total Value of Securities and the Net Equity This Period.

There are no "Stop Loss" orders or other pending buy or sell open orders on file for your account.

## Market Value of Your Portfolio



Please report any difference or non-receipt of checks or stocks, indicated as delivered to you, to Client Services at 800-634-1428, or write to Client Services at Bear, Stearns Securities Corp., One Metrotech Center North, Brooklyn, N.Y. 11201-3859.

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**SFC** This summary is for informational purposes only. It is not intended as a tax document. This statement should be retained for our records. See reverse side for important information.

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One Metropath Center North  
Brooklyn, New York 11201-3859  
(212) 272-1000

NEIL BOTWINOFF IRA R/O

2 of 6

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(212) 272-1000

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BEAR  
STEARN S

Cash Flow Analysis

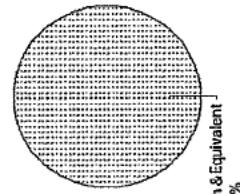
THIS PERIOD	
Opening Balance	\$0.00
Money Fund	1.17
Funds Deposited	745.75
Amount Credited	\$746.92
Money Fund	-746.92
Amount Debited	\$-746.92
Closing Balance	\$0.00

Retirement Plan

TRADITIONALIRA ACCOUNT		LAST STATEMENT	March 30, 2007
	2007 (FYD)	2006 (FYD)	
Contributions	0.00	0.00	
Catch-Up Contributions	0.00	0.00	
Rollovers	745.75		
Distributions	0.00		
Federal Tax Withheld		0.00	
State Tax Withheld		0.00	

Portfolio Composition

Income Summary		THIS PERIOD	YEAR TO DATE	
Cash/Cash Equivalent				800
Total				\$800
Dividends	1.17		1.96	
Total	\$1.17			\$1.96



Cash & Equivalent  
100%

Unshaded portions denote debit balance and/or short market values. The allocation percentage is derived from the absolute market value of your portfolio.

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**MWPTAP00386550**

# BEAR STEARNS

OFFICE SERVICING YOUR ACCOUNT  
 Bear, Stearns & Co., Inc.  
 383 Madison Avenue  
 New York, New York 10119  
 (212) 272-2000

4 of 6

## Your Portfolio Holdings

### CASH & CASH EQUIVALENTS

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	PRICE	MARKET VALUE
BEAR STEARNS TEMP FUND	BTUXX	799.66	1.0000	800
PRIVATE CLIENT SHS				
<b>TOTAL CASH &amp; CASH EQUIVALENTS</b>				<b>\$800</b>

### EQUITIES

#### Equities & Options

Independent, third-party research on certain companies covered by the Firm's research is available to customers of Bear Stearns at no cost. Customers can access this research at [www.bearstearns.com](http://www.bearstearns.com) or can call (800) 517-2327 to request that a copy of this research be sent to them.

DESCRIPTION	SYMBOL/CUSIP	ACCT TYPE	QUANTITY	PRICE	MARKET VALUE	ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)
PJ ADMINISTRATOR LLC PVT PLACEMENT	9313636	CASH	55,282	Unpriced	\$0	\$0	
Total Equities & Options					\$0	\$0	
<b>TOTAL EQUITIES</b>					<b>\$800</b>		

### YOUR PRICED PORTFOLIO HOLDINGS

<b>YOUR PRICED PORTFOLIO HOLDINGS</b>	<b>\$800</b>
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Bear, Stearns Securities Corp.  
 One Manhatta Center North  
 Brooklyn, New York 11201-3656  
 (212) 272-1000

NEIL BOTWINOFF IRA R/O

STATEMENT PERIOD	March 31, 2007
THROUGH	April 30, 2007
ACCOUNT NUMBER	Redacted RJ2
TAXPAYER NUMBER	On File

LAST STATEMENT	March 30, 2007
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MWPTAP00386551

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 One Manhatt Center North  
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5 of 6

### Transaction Detail

#### DEPOSITS AND WITHDRAWALS

DATE	TRANSACTION	DESCRIPTION	DEBIT AMOUNT	CREDIT AMOUNT
04/20/07	DEPOSIT	TANNENBAUM HELPERN OO BS N BOTWINOFF A/O 4/19 DIRECT R/O CTRBK		745.75
	<b>TOTAL</b>			<b>\$745.75</b>

#### MONEY FUND ACTIVITY

DATE M/D/Y	TRANSACTION	DESCRIPTION	SYMBOL/USIP	QUANTITY	PRICE	DEBIT AMOUNT	CREDIT AMOUNT
03/31/07	OPENINGBALANCE			52.74			
04/20/07	FUND	BEAR STEARN'S TEMPFUND PRIVATE CLIENT SHS INTRADAY PURCHASE	BTVXX	745.75		745.75	
04/30/07	DIVIDEND	BEAR STEARN'S TEMPFUND PRIVATE CLIENT SHS MONTHLY DIVIDEND 3/30- 4/29	BTVXX		1.17		1.17
04/30/07	REINVEST	BEAR STEARN'S TEMPFUND PRIVATE CLIENT SHS MONTHLY DIVIDEND REINVESTED	BTVXX		1.17		1.17
04/30/07	CLOSINGBALANCE			799.66			
	<b>TOTAL</b>					<b>\$746.92</b>	<b>\$1.17</b>

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One Metromar Center North  
Brooklyn, New York 11201-3859  
(212) 272-1000  
NEIL BOTWINOFF IRA R/O

STATEMENT PERIOD	March 31, 2007
THROUGH	April 30, 2007
ACCOUNT NUMBER	Redacted! RJ2
TAXPAYER NUMBER	On File
LAST STATEMENT	March 30, 2007

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Bear, Stearns & Co., Inc.  
383 Madison Avenue  
New York, New York 10179  
(212) 272-2000

**BEAR  
STEARNS**

6 of 6

## Your messages



You are advised to promptly report any inaccuracy or discrepancy in your account to your broker and Bear, Stearns Securities Corp. ("BSSC") at the telephone numbers listed on the front of this statement. In order to protect your rights, including any rights under the Securities Investor Protection Act ("SIPA"), any such communications should be reconfirmed in writing to your broker and BSSC at the addresses which appear on the front of this statement.



\*\*\*\*\* End of Statement\*\*\*\*\*

MWPTAP00386553

**EXHIBIT 3**

**MWPTAP00386554**

## TOTAL SUMMARY OF TRANSACTIONS - Neil Botwinoff IRA

YEAR/ MONTH	OPENING BALANCE	ADDITIONS	WITHDRAWALS	INCOME	ENDING BALANCE
1996	-				-
1997	-				-
1998	-				-
1999	-				-
2000	-				-
2001	-				-
2002	-				-
2003	-				-
2004	-				-
2005	-				-
Jan-06					-
Feb-06	-				-
Mar-06	-				-
Apr-06	-				-
May-06	-				-
Jun-06	-				-
Jul-06	-				-
Aug-06	-				-
Sep-06	-				-
Oct-06	-	55,282		717	55,999
Nov-06	55,999			523	56,522
Dec-06	56,522			334	56,856
Jan-07	56,856			295	57,151
Feb-07	57,151			(118)	57,033
Mar-07	57,033			1,254	58,287
Apr-07	58,287			745	59,032
May-07	59,032	746		539	60,317
Jun-07	60,317			558	60,875
Jul-07	60,875			278	61,153
Aug-07	61,153			291	61,444
Sep-07	61,444			776	62,220
Oct-07	62,220			373	62,593
Nov-07	62,593			838	63,431
Dec-07	63,431			369	63,800
Jan-08	63,800			449	64,249
Feb-08	64,249			278	64,527
Mar-08	64,527			334	64,861
Apr-08	64,861			618	65,479
May-08	65,479			888	66,367
Jun-08	66,367			223	66,590
Jul-08	66,590			118	66,708
Aug-08	66,708			670	67,378
Sep-08	67,378			740	68,118
Oct-08	68,118			132	68,250
Nov-08	68,250			717	68,967
Dec-08	68,967				68,967
Total		56,028	-	12,939	68,967

(362)

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**EXHIBIT 4**

**MWPTAP00386556**

## NEIL BOTWINOFF IRA

TRADE DATE	STOCK	TYPE OF ASSET	# OF SHARES BOUGHT
11/6/2008	3M COMPANY	COMMON STOCK	4.06
11/7/2008	3M COMPANY	COMMON STOCK	2.80
11/10/2008	3M COMPANY	COMMON STOCK	3.45
11/25/2008	3M COMPANY	COMMON STOCK	1.36
11/6/2008	ABBOTT LABORATORIES	COMMON STOCK	9.27
11/7/2008	ABBOTT LABORATORIES	COMMON STOCK	6.40
11/10/2008	ABBOTT LABORATORIES	COMMON STOCK	7.89
11/25/2008	ABBOTT LABORATORIES	COMMON STOCK	3.10
11/6/2008	ALTRIA GROUP INC.	COMMON STOCK	12.17
11/7/2008	ALTRIA GROUP INC.	COMMON STOCK	8.40
11/10/2008	ALTRIA GROUP INC.	COMMON STOCK	10.36
11/25/2008	ALTRIA GROUP INC.	COMMON STOCK	4.07
11/6/2008	AMGEN INC.	COMMON STOCK	6.37
11/7/2008	AMGEN INC.	COMMON STOCK	4.40
11/10/2008	AMGEN INC.	COMMON STOCK	5.43
11/25/2008	AMGEN INC.	COMMON STOCK	2.13
11/6/2008	APPLE INC.	COMMON STOCK	5.22
11/7/2008	APPLE INC.	COMMON STOCK	3.60
11/10/2008	APPLE INC.	COMMON STOCK	4.44
11/25/2008	APPLE INC.	COMMON STOCK	1.75
11/6/2008	AT&T INC.	COMMON STOCK	34.77
11/7/2008	AT&T INC.	COMMON STOCK	23.20
11/10/2008	AT&T INC.	COMMON STOCK	30.59
11/25/2008	AT&T INC.	COMMON STOCK	11.84
11/6/2008	BANK OF AMERICA	COMMON STOCK	30.13
11/7/2008	BANK OF AMERICA	COMMON STOCK	20.40
11/10/2008	BANK OF AMERICA	COMMON STOCK	25.66
11/25/2008	BANK OF AMERICA	COMMON STOCK	9.89
11/6/2008	BANK OF NEW YORK MELLON CORP.	COMMON STOCK	6.95
11/7/2008	BANK OF NEW YORK MELLON CORP.	COMMON STOCK	4.40
11/10/2008	BANK OF NEW YORK MELLON CORP.	COMMON STOCK	5.92
11/25/2008	BANK OF NEW YORK MELLON CORP.	COMMON STOCK	2.33
11/6/2008	BAXTER INTERNATIONAL INC.	COMMON STOCK	1.05
11/7/2008	BAXTER INTERNATIONAL INC.	COMMON STOCK	2.40
11/10/2008	BAXTER INTERNATIONAL INC.	COMMON STOCK	3.45
11/25/2008	BAXTER INTERNATIONAL INC.	COMMON STOCK	1.16
11/6/2008	BOEING CO.	COMMON STOCK	4.64
11/7/2008	BOEING CO.	COMMON STOCK	3.20
11/10/2008	BOEING CO.	COMMON STOCK	3.95
11/6/2008	BRISTOL MAYERS SQUIBB COMPANY	COMMON STOCK	11.59
11/7/2008	BRISTOL MAYERS SQUIBB COMPANY	COMMON STOCK	8.00
11/10/2008	BRISTOL MAYERS SQUIBB COMPANY	COMMON STOCK	10.36
11/25/2008	BRISTOL MAYERS SQUIBB COMPANY	COMMON STOCK	3.88
11/6/2008	CHEVRON CORP.	COMMON STOCK	12.17
11/7/2008	CHEVRON CORP.	COMMON STOCK	8.40
11/10/2008	CHEVRON CORP.	COMMON STOCK	10.86
11/25/2008	CHEVRON CORP.	COMMON STOCK	4.07
11/6/2008	CISCO SYSTEMS INC.	COMMON STOCK	35.35
11/7/2008	CISCO SYSTEMS INC.	COMMON STOCK	23.60
11/10/2008	CISCO SYSTEMS INC.	COMMON STOCK	30.10
11/25/2008	CISCO SYSTEMS INC.	COMMON STOCK	11.64

MWPTAP00386557

11/6/2008	CITIGROUP INC.	COMMON STOCK	32.45
11/7/2008	CITIGROUP INC.	COMMON STOCK	21.60
11/10/2008	CITIGROUP INC.	COMMON STOCK	28.13
11/25/2008	CITIGROUP INC.	COMMON STOCK	11.25
11/6/2008	COCA COLA INC.	COMMON STOCK	11.59
11/7/2008	COCA COLA INC.	COMMON STOCK	8.00
11/10/2008	COCA COLA INC.	COMMON STOCK	10.36
11/25/2008	COCA COLA INC.	COMMON STOCK	3.88
11/25/2008	COLGATE POLMOLIVE CO	COMMON STOCK	0.97
11/6/2008	COMCAST CORP	COMMON STOCK	17.39
11/7/2008	COMCAST CORP	COMMON STOCK	11.60
11/10/2008	COMCAST CORP	COMMON STOCK	14.80
11/25/2008	COMCAST CORP	COMMON STOCK	5.63
11/6/2008	CONOCOPHILIPS	COMMON STOCK	9.27
11/7/2008	CONOCOPHILIPS	COMMON STOCK	6.00
11/10/2008	CONOCOPHILIPS	COMMON STOCK	7.89
11/25/2008	CONOCOPHILIPS	COMMON STOCK	3.10
11/6/2008	CVS CAREMARK CORP.	COMMON STOCK	8.69
11/7/2008	CVS CAREMARK CORP.	COMMON STOCK	5.60
11/10/2008	CVS CAREMARK CORP.	COMMON STOCK	7.40
11/25/2008	CVS CAREMARK CORP.	COMMON STOCK	2.91
11/25/2008	EXELON CORP	COMMON STOCK	1.36
11/6/2008	EXXON MOBIL CORP.	COMMON STOCK	31.29
11/7/2008	EXXON MOBIL CORP.	COMMON STOCK	21.20
11/10/2008	EXXON MOBIL CORP.	COMMON STOCK	26.65
11/25/2008	EXXON MOBIL CORP.	COMMON STOCK	10.47
11/6/2008	GENERAL ELECTRIC CO.	COMMON STOCK	62.01
11/7/2008	GENERAL ELECTRIC CO.	COMMON STOCK	42.01
11/10/2008	GENERAL ELECTRIC CO.	COMMON STOCK	53.78
11/25/2008	GENERAL ELECTRIC CO.	COMMON STOCK	21.34
11/6/2008	GOLDMAN SACHS GROUP INC.	COMMON STOCK	2.32
11/7/2008	GOLDMAN SACHS GROUP INC.	COMMON STOCK	1.60
11/10/2008	GOLDMAN SACHS GROUP INC.	COMMON STOCK	2.47
11/6/2008	GOOGLE	COMMON STOCK	1.16
11/7/2008	GOOGLE	COMMON STOCK	0.80
11/10/2008	GOOGLE	COMMON STOCK	0.99
11/25/2008	GOOGLE	COMMON STOCK	0.39
11/6/2008	HEWLETT PACKARD CO.	COMMON STOCK	14.49
11/7/2008	HEWLETT PACKARD CO.	COMMON STOCK	10.00
11/10/2008	HEWLETT PACKARD CO.	COMMON STOCK	12.83
11/25/2008	HEWLETT PACKARD CO.	COMMON STOCK	4.85
11/6/2008	HOME DEPOT INC.	COMMON STOCK	10.43
11/7/2008	HOME DEPOT INC.	COMMON STOCK	6.80
11/10/2008	HOME DEPOT INC.	COMMON STOCK	8.88
11/25/2008	HOME DEPOT INC.	COMMON STOCK	3.30
11/6/2008	INTEL CORP	COMMON STOCK	33.03
11/7/2008	INTEL CORP	COMMON STOCK	22.40
11/10/2008	INTEL CORP	COMMON STOCK	29.11
11/25/2008	INTEL CORP	COMMON STOCK	11.25
11/6/2008	INTERNATIONAL BUSINESS MACHS	COMMON STOCK	8.11
11/7/2008	INTERNATIONAL BUSINESS MACHS	COMMON STOCK	5.60
11/10/2008	INTERNATIONAL BUSINESS MACHS	COMMON STOCK	6.91
11/25/2008	INTERNATIONAL BUSINESS MACHS	COMMON STOCK	2.72
11/6/2008	J.P. MORGAN CHASE & CO.	COMMON STOCK	22.02

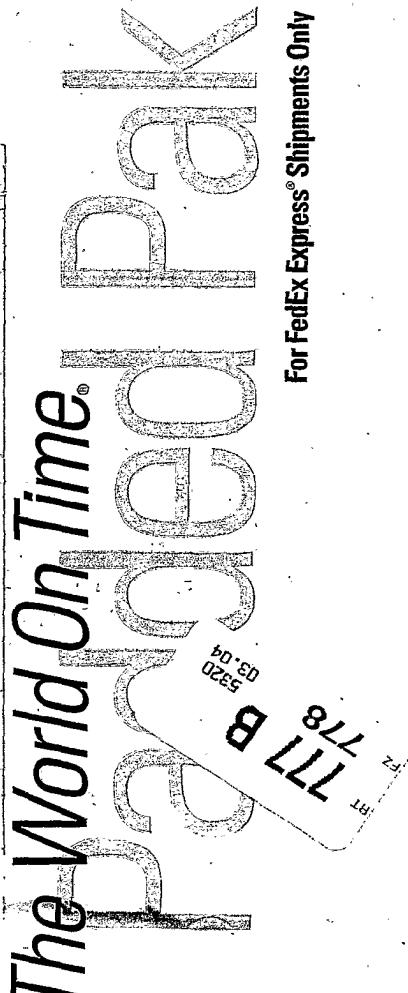
11/7/2008	J.P. MORGAN CHASE & CO.	COMMON STOCK	15.20
11/10/2008	J.P. MORGAN CHASE & CO.	COMMON STOCK	18.75
11/25/2008	J.P. MORGAN CHASE & CO.	COMMON STOCK	7.37
11/6/2008	JOHNSON & JOHNSON	COMMON STOCK	16.81
11/7/2008	JOHNSON & JOHNSON	COMMON STOCK	11.20
11/10/2008	JOHNSON & JOHNSON	COMMON STOCK	14.31
11/25/2008	JOHNSON & JOHNSON	COMMON STOCK	5.63
11/6/2008	KRAFT FOOD INC.	COMMON STOCK	9.27
11/7/2008	KRAFT FOOD INC.	COMMON STOCK	6.00
11/10/2008	KRAFT FOOD INC.	COMMON STOCK	7.89
11/25/2008	KRAFT FOOD INC.	COMMON STOCK	2.91
11/6/2008	MCDONALDS CORP.	COMMON STOCK	6.95
11/7/2008	MCDONALDS CORP.	COMMON STOCK	4.40
11/10/2008	MCDONALDS CORP.	COMMON STOCK	5.92
11/25/2008	MCDONALDS CORP.	COMMON STOCK	2.13
11/6/2008	MEDTRONIC INC.	COMMON STOCK	6.95
11/7/2008	MEDTRONIC INC.	COMMON STOCK	4.40
11/10/2008	MEDTRONIC INC.	COMMON STOCK	5.92
11/25/2008	MEDTRONIC INC.	COMMON STOCK	2.33
11/6/2008	MERCK & CO.	COMMON STOCK	12.75
11/7/2008	MERCK & CO.	COMMON STOCK	8.80
11/10/2008	MERCK & CO.	COMMON STOCK	10.86
11/25/2008	MERCK & CO.	COMMON STOCK	4.27
11/6/2008	MICROSOFT CORP.	COMMON STOCK	46.94
11/7/2008	MICROSOFT CORP.	COMMON STOCK	32.01
11/10/2008	MICROSOFT CORP.	COMMON STOCK	39.97
11/25/2008	MICROSOFT CORP.	COMMON STOCK	15.52
11/6/2008	OCCIDENTAL PETROLEUM CORP.	COMMON STOCK	6.22
11/7/2008	OCCIDENTAL PETROLEUM CORP.	COMMON STOCK	3.20
11/10/2008	OCCIDENTAL PETROLEUM CORP.	COMMON STOCK	4.44
11/25/2008	OCCIDENTAL PETROLEUM CORP.	COMMON STOCK	1.75
11/6/2008	ORACLE CORPORATION	COMMON STOCK	23.76
11/7/2008	ORACLE CORPORATION	COMMON STOCK	16.00
11/10/2008	ORACLE CORPORATION	COMMON STOCK	20.23
11/25/2008	ORACLE CORPORATION	COMMON STOCK	7.76
11/6/2008	PEPSICO INC.	COMMON STOCK	9.27
11/7/2008	PEPSICO INC.	COMMON STOCK	6.40
11/10/2008	PEPSICO INC.	COMMON STOCK	7.89
11/25/2008	PEPSICO INC.	COMMON STOCK	3.10
11/6/2008	PFIZER IINC.	COMMON STOCK	39.99
11/7/2008	PFIZER IINC.	COMMON STOCK	27.21
11/10/2008	PFIZER IINC.	COMMON STOCK	35.03
11/25/2008	PFIZER IINC.	COMMON STOCK	13.38
11/6/2008	PHILLIP MORRIS INTERNATIONAL	COMMON STOCK	12.75
11/7/2008	PHILLIP MORRIS INTERNATIONAL	COMMON STOCK	8.40
11/10/2008	PHILLIP MORRIS INTERNATIONAL	COMMON STOCK	10.36
11/25/2008	PHILLIP MORRIS INTERNATIONAL	COMMON STOCK	4.07
11/6/2008	PROCTER & GAMBLE CO.	COMMON STOCK	17.96
11/7/2008	PROCTER & GAMBLE CO.	COMMON STOCK	12.40
11/10/2008	PROCTER & GAMBLE CO.	COMMON STOCK	15.30
11/25/2008	PROCTER & GAMBLE CO.	COMMON STOCK	5.82
11/6/2008	QUALCOMM INC.	COMMON STOCK	9.85
11/7/2008	QUALCOMM INC.	COMMON STOCK	6.80
11/10/2008	QUALCOMM INC.	COMMON STOCK	8.39

11/25/2008	QUALCOMM INC.	COMMON STOCK	3.30
11/6/2008	SCHLUMBERGER LTD.	COMMON STOCK	6.95
11/7/2008	SCHLUMBERGER LTD.	COMMON STOCK	4.80
11/10/2008	SCHLUMBERGER LTD.	COMMON STOCK	6.41
11/25/2008	SCHLUMBERGER LTD.	COMMON STOCK	2.33
11/6/2008	THE WALT DISNEY CO.	COMMON STOCK	11.59
11/7/2008	THE WALT DISNEY CO.	COMMON STOCK	7.60
11/10/2008	THE WALT DISNEY CO.	COMMON STOCK	9.38
11/25/2008	THE WALT DISNEY CO.	COMMON STOCK	3.69
11/6/2008	TIME WARNER INC.	COMMON STOCK	21.44
11/7/2008	TIME WARNER INC.	COMMON STOCK	14.40
11/10/2008	TIME WARNER INC.	COMMON STOCK	17.76
11/25/2008	TIME WARNER INC.	COMMON STOCK	7.18
11/6/2008	U S BANCORP	COMMON STOCK	10.43
11/7/2008	U S BANCORP	COMMON STOCK	7.20
11/10/2008	U S BANCORP	COMMON STOCK	8.88
11/25/2008	U S BANCORP	COMMON STOCK	3.49
11/6/2008	UNITED PARCEL SVC. INC.	COMMON STOCK	5.80
11/7/2008	UNITED PARCEL SVC. INC.	COMMON STOCK	4.00
11/10/2008	UNITED PARCEL SVC. INC.	COMMON STOCK	4.93
11/25/2008	UNITED PARCEL SVC. INC.	COMMON STOCK	1.94
11/6/2008	UNITED TECHNOLOGIES CORP.	COMMON STOCK	5.80
11/7/2008	UNITED TECHNOLOGIES CORP.	COMMON STOCK	4.00
11/10/2008	UNITED TECHNOLOGIES CORP.	COMMON STOCK	4.93
11/25/2008	UNITED TECHNOLOGIES CORP.	COMMON STOCK	1.94
11/6/2008	VERIZON COMMUNICATIONS	COMMON STOCK	16.81
11/7/2008	VERIZON COMMUNICATIONS	COMMON STOCK	11.20
11/10/2008	VERIZON COMMUNICATIONS	COMMON STOCK	14.80
11/25/2008	VERIZON COMMUNICATIONS	COMMON STOCK	5.63
11/6/2008	WAL-MART STORES INC	COMMON STOCK	13.33
11/7/2008	WAL-MART STORES INC	COMMON STOCK	9.20
11/10/2008	WAL-MART STORES INC	COMMON STOCK	11.35
11/25/2008	WAL-MART STORES INC	COMMON STOCK	4.46
11/6/2008	WELLS FARGO & CO. NEW	COMMON STOCK	19.70
11/7/2008	WELLS FARGO & CO. NEW	COMMON STOCK	13.60
11/10/2008	WELLS FARGO & CO. NEW	COMMON STOCK	16.78
11/25/2008	WELLS FARGO & CO. NEW	COMMON STOCK	7.57
11/25/2008	WYETH	COMMON STOCK	2.72
11/28/2008	FIDELITY SPARTAN	MONEY MARKET	0.35

**# OF OPTIONS  
(LOTS OF 100)**

11/19/2008	S & P 100 Index - December \$430 call	SHORT	(1.47)
11/25/2008	S & P 100 Index - December \$380 call	SHORT	(0.19)
11/19/2008	S & P 100 Index - December \$420 put	LONG	1.47
11/25/2008	S & P 100 Index - December \$370 put	LONG	0.19

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